

Governance, Audit and Risk Management Committee **AGENDA**

DATE: Tuesday 6 September 2011

TIME: 7.30 pm

VENUE: Committee Room 5,
Harrow Civic Centre

MEMBERSHIP (Quorum 3)

Chairman: Councillor Sachin Shah

Councillors:

Sue Anderson	Chris Mote
Mano Dharmarajah	Richard Romain
Thaya Idaikkadar (VC)	Yogesh Teli

Reserve Members:

- | | |
|-------------------|--------------------|
| 1. Ben Wealthy | 1. Tony Ferrari |
| 2. Ajay Maru | 2. Stephen Wright |
| 3. Krishna Suresh | 3. Anthony Seymour |
| 4. Krishna James | |

Contact: Lysandra Dwyer, Democratic Services Officer
Tel: 020 8424 1264 E-mail: lysandra.dwyer@harrow.gov.uk

AGENDA - PART I

1. APPOINTMENT OF MEMBER

To note the appointment of Councillor Chris Mote as a Member of the Governance, Audit and Risk Management Committee in place of Councillor Barry Macleod-Cullinane in accordance with Council Procedure Rule 1.5 and following notification from the Conservative Group Leader.

2. ATTENDANCE BY RESERVE MEMBERS

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the whole of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

3. DECLARATIONS OF INTEREST

To receive declarations of personal or prejudicial interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

4. MINUTES (Pages 1 - 10)

That the minutes of the meeting held on 28 June 2011 be taken as read and signed as a correct record.

5. PUBLIC QUESTIONS

To receive questions (if any) from local residents/organisations under the provisions of Committee Procedure Rule 17 (Part 4B of the Constitution).

6. PETITIONS

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

7. DEPUTATIONS

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

8. REFERENCES FROM COUNCIL AND OTHER COMMITTEES/PANELS

To receive references from Council and any other Committees or Panels (if any).

9. DRAFT FINAL ACCOUNTS (To Follow)

Report of the Interim Director Finance

10. PROGRESS ON AUDIT OF ACCOUNTS

Oral Update from the Interim Director Finance and External Auditors.

11. WHISTLEBLOWING REPORT (Pages 11 - 28)

Report of the Director of Legal and Governance Services.

12. ANNUAL GOVERNANCE STATEMENT 2010/11 (Pages 29 - 154)

Report of the Assistant Chief Executive.

13. MANAGEMENT ASSURANCE REPORT 2010/11 (Pages 155 - 186)

Report of the Assistant Chief Executive

14. INFORMATION REPORT - RISK, AUDIT & FRAUD ACTIVITY UPDATE REPORT (Pages 187 - 198)

Report of the Assistant Chief Executive.

15. RISK MANAGEMENT UPDATE AND DRAFT RISK MANAGEMENT STRATEGY (Pages 199 - 224)

Report of the Assistant Chief Executive.

16. INFORMATION REPORT - CIVIL CONTINGENCIES TEAM (EMERGENCY PLANNING & BUSINESS CONTINUITY) ACTIVITY UPDATE REPORT (Pages 225 - 236)

Report of the Assistant Chief Executive.

17. ANY OTHER URGENT BUSINESS

Which cannot otherwise be dealt with.

18. EXCLUSION OF THE PRESS AND PUBLIC

To resolve that the press and public be excluded from the meeting for the following item of business, on the grounds that it involves the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
19.	Appendices 2 – 4 of the INFORMATION REPORT - Civil Contingencies Team (Emergency Planning & Business Continuity) Activity Update Report) Information under paragraph 3 (contains information relating to the financial and business affairs of any particular person (including the authority holding that information)).

AGENDA - PART II

19. **INFORMATION REPORT - CIVIL CONTINGENCIES TEAM (EMERGENCY PLANNING & BUSINESS CONTINUITY) ACTIVITY UPDATE REPORT** (Pages 237 - 252)

Appendices 2-4 to the report of the Assistant Chief Executive.